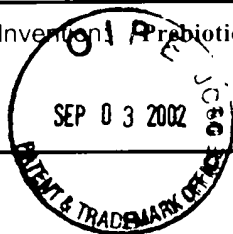


|  |                                    |                             |                               |
|--|------------------------------------|-----------------------------|-------------------------------|
| <b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b> |                                    |                             | Docket No.<br><b>KBI-0004</b> |
| Applicant(s): <b>Ranganathan et al.</b>            |                                    |                             |                               |
| Serial No.<br><b>09/855,346</b>                    | Filing Date<br><b>May 15, 2001</b> | Examiner<br><b>R. Davis</b> | Group Art Unit<br><b>1651</b> |

Invention: **Probiotic and Probiotic Compositions and Methods for Their Use in Gut-Based Therapies**



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**SEP 10 2002**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

**TECH CENTER 1600 2000**

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

|  | CLAIMS REMAINING<br>AFTER AMENDMENT | HIGHEST #<br>PREV. PAID FOR | NUMBER EXTRA<br>CLAIMS PRESENT | RATE    | ADDITIONAL<br>FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|---------|-------------------|
| TOTAL CLAIMS   | 16 -                                | 20 =                        | 0 x                            | \$9.00  | \$0.00            |
| INDEP. CLAIMS  | 2 -                                 | 3 =                         | 0 x                            | \$42.00 | \$0.00            |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |                                     |                             |                                |         | \$0.00            |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT                                  |                                     |                             |                                |         | \$0.00            |

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1619**  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Dated: **September 3, 2002**

*Kathleen A. Tyrrell*  
Signature  
**Kathleen A. Tyrrell, Registration No. 38,350**

**LICATA & TYRRELL P.C.**  
**66 East Main Street**  
**Marlton, New Jersey 08053**  
Tel : 856-810-1515  
Fax: 856-810-1454

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:

KBI-0004



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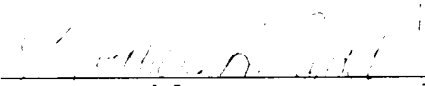
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Date of Deposit: September 3, 2002

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- 1) Amendment Transmittal Letter (in duplicate);
- 2) Amendment in Response to Office Action;
- 3) Return Postcard.

  
\_\_\_\_\_  
Kathleen A. Tyrrell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: KBI-0004  
Inventors: Ranganathan et al.  
Serial No.: 09/855,346  
Filing Date: May 15, 2001  
Examiner: Ruth A. Davis  
Group Art Unit: 1651  
Title: Prebiotic and Probiotic Compositions and  
Methods for Their Use in Gut-Based  
Therapies

"Express Mail" Label No. EV103041109US  
Date of Deposit September 3, 2002

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U.S. Patent and Trademark Office, P.O. Box 2327,  
Arlington, VA 22202.

By Kathleen A. Tyrrell  
Typed Name: Kathleen A. Tyrrell, Reg. No. 38,350

REPLY UNDER 37 C.F.R. § 1.111

This is in response to the Office Action mailed May 31, 2002,  
setting a three (3) month statutory period for reply. Please enter  
the following amendments and remarks into the record.

In the claims:

Please amend the claims as follows:

1. (amended) A pharmaceutical composition comprising a  
composition of a probiotic, a prebiotic, and an ammoniaphilic urea